

## Backchanneling via Twitter Data for Conversational Dialogue Systems

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# **Dialogue Systems**

- Task-oriented dialogue systems
   Accomplish specific tasks
  - Reservation services
  - Directory-assistance services

Non-task-oriented dialogue systems
 Personal communication

Applications of Non-task-oriented Dialogue Systems

- Installation in humanoid robots
   To build good relationships with humans
- Difficult dialogue tasks
  - To accomplish difficult tasks such as negotiation
- Entertainment

and so on...

## Background

Listener's active participation

Reaction and positive attitude (backchanneling) are essential for most speakers to talk and communicate effectively [Horiguchi 97].

 Backchanneling generation methods have been extensively studied



#### **Related Works**

Previous methods for backchanneling
Using pitch patterns in the human user's utterances [Okato+ 96]
Using prosodic information [Ward+ 00]
Using estimated user's degree of interest about the topic [Kobayashi+ 13]

These studies employ a limited set of backchannels such as "hmm" or "sure."

#### Purpose

Generating a rich variety of backchanneling to realize smooth communication in nontask-oriented dialogue systems

- Approach
  - Employ Twitter data to train our model
    - Backchanneling is frequently used by Twitter users.
    - Easy to obtain a large amount of backchanneling data.
  - Use a recurrent neural network (RNN) to determine suitable backchannels
     \* backchanneling timing is ignored in this study.

#### Previous Works utilized RNN

#### Dialogue systems using RNN

- Response generation
  - Task-oriented [Tsung-Hsien+ 15]
  - Non-task-oriented [Cho+ 14] [Sordoni+ 15][Shang+ 15]

These works utilized encoder-decoder model

- RNN encoder reads as input a variable-length word sequence and outputs a fixed-length vector
- 2. Another RNN decodes a given fixed-length vector, producing an objective variable-length word sequence

In the proposed model, we use RNN as a feature extractor and classifier

#### **Proposed Method**

- Formulate the problem of what the backchanneling should return for given inputs as a multiclass classification problem
- Determine replies using this multiclass classifier
  - We use a Recurrent Neural Network (RNN) with long short-term memory (LSTM-RNN).
- Determine the reply (output) classes in advance to train the model

Example of Reply Classes 44 reply classes (a part is shown below)

The original Japanese replies are shown in parentheses.

That's tough	l agree	Sure	That's OK
(すごいね)	(同感です)	(もちろん)	(大丈夫です)
So cute	So cool	l'm happy	That's good
(かわいいよね)	(かっこいいね)	(嬉しいな)	(よかった)
Thank you	l'm sorry	Awesome	lt's no go
(ありがとう)	(ごめんね)	(さすがだね)	(だめだよ)
see	You are right	Good luck	ls that true?
(そうなんだ)	(確かにね)	(頑張って)	(本当ですか)
Good for you	That's funny	l'm jealous	Sounds good
(よかったね)	(笑えるね)	(羨ましい)	(いいね)

#### **Data Acquisition**

Tweet-reply pairs as training data
Ex. "So cool" tweet-reply data



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Long Short-Term Memory Recurrent Neural Network

- Recurrent Neural Network (RNN)
  possesses an internal state
  handles sequential data
- Long Short-Term Memory (LSTM)
   Takes input and holds it selectively into a memory cell
- Use RNN with LSTM as a hidden layer (LSTM-RNN)

#### Proposed Model



## **Proposed Model**

- Each LSTM layer has 1000 memory cells
- Input

 1000-dimensional distributed representation of words learned by Word2Vec [Mikolov+ 13]

Output

 44-dimensional probability distribution corresponding to each reply class

Trained using AdaGrad [Duchi+ 11]

Experiment

#### Experiment

Automatic evaluation

 Calculate the co-incidence ratio between our method's outputs and the original replies in Twitter

Manual evaluation

 Human subjects evaluate our method's outputs

## Data

- 460,000 Japanese pairs of tweets and replies
  - 455,000 pairs for training the model
    5,000 pairs for evaluation
- Obtained 44 equally distributed reply classes

#### **Baseline Methods**

#### Random

Randomly selects a reply class from among the 44 classes

Multiclass Support Vector Machine

 LIBSVM [Chung+ 11]
 Unigram and trigram features
 Linear kernel

Result

#### **Result: Automatic Evaluation**



**\*\*** Significant difference at the 1% level by McNemar's test

## Manual Evaluation

- Randomly selected 200 data pairs from 5,000 pairs
- Two human subjects evaluated outputs from each method for each given tweet
  - Judged the natural quality using a five-point Likert scale

#### **Result: Manual Evaluation**



## Summary: Experimental Result

#### Automatic evaluation

 Our proposed method showed better performance than the two baseline methods.

Accuracy of our proposed method (0.34) is not very high.

#### Manual evaluation

 Natural quality of output of our proposed method is better than that of the multiclass SVM and is closer to the original twitter data.

### Conclusions

- Proposed a method for generating a rich variety of backchanneling
- Formulated the problem of what backchannel to return for a given utterance as a multi-class classification problem
  - A suitable reply class is determined using an LSTM-RNN.
- Experimental results demonstrated that our method significantly outperformed baseline methods.

#### Future Work

- Reduce noise in the training data
  - Twitter data contain a substantial amount of noise
  - The proposed method could potentially be improved by decreasing noise from the training data by implementing a filtering technique.
- Backchanneling timing control to build a spoken conversational dialogue system